

PTO/SB/01A (08-03)

Approved for use through 10/31/2002 OMB 0551-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

Title of invention	METHOD OF PREPARING TRANSGENIC ORGANISM WITH USE OF METHYLATION AND SYSTEM THEREFOR
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As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No _____, International filing date of July 8, 2004
☐ as amended on _____ (if applicable);

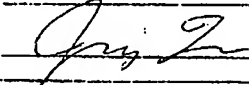
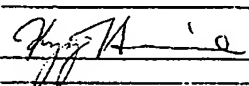
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one:	<u>Junji TAKEDA</u>	Citizen of:	<u>Japan</u>
Signature:	<u></u>	Date:	<u>2/25/2006</u>
Inventor two:	<u>Kyoji HORIE</u>	Citizen of:	<u>Japan</u>
Signature:	<u></u>	Date:	<u>2/24/06</u>
Inventor three:	_____	Citizen of:	_____
Signature:	_____	Date:	_____
Inventor four:	_____	Citizen of:	_____
Signature:	_____	Date:	_____

☐ Additional inventors or a legal representative are being named on _____ additional form(s) attached hereto

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: P.O. Box 1450, Alexandria, VA 22313-1450.

Docket No. 690116 401C1

JOHN0479027_1 DOC

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ELECTION AND POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number	
	International Filing Date	July 8, 2004
	First Named Inventor	TAKEDA, Junji
	Title	METHOD OF PREPARING TRANSGENIC ORGANISM WITH USE OF METHYLATION AND SYSTEM THEREFOR
	Art Unit	
	Examiner Name	
	Attorney Docket Number	

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC, Customer Number: 00500

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above (and any continuation/divisional applications therefrom), and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number

OR

☐ The address associated with Customer Number:

OR

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Email

I am the:

☐ Applicant/Inventor

☒ Assignee of record of the entire interest. See 37 CFR 3.71
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

☒ As assignee of record of the entire interest I/we hereby elect, under 37 CFR 3.71 to prosecute the application to the exclusion of the inventor(s).

SIGNATURE of Applicant or Assignee of Record

Signature	<i>Michio Obara</i>	Date	March 16, 2006
Name	Michio OBARA		
Title and Company (Assignee)	Executive Director JAPAN SCIENCE AND TECHNOLOGY AGENCY		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. #595388_1 DOC

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PTO/SB/96 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031

U S Patent and Trademark Office; U S DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Junji TAKEDA and Kyoji HORIE

Application No /Patent No : _____ International Filing Date: July 8, 2004

Entitled: METHOD OF PREPARING TRANSGENIC ORGANISM WITH USE
OF METHYLATION AND SYSTEM THEREFOR

JAPAN SCIENCE AND TECHNOLOGY AGENCY a corporation
(Name of Assignee) (Type of Assignee e.g., corporation, partnership,
university, government agency etc)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above
The assignment was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached
OR
B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the
current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet

☐ Copies of assignments or other documents in the chain of title noted in B above are
attached.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment
Division in accordance with 37 CFR Part 3 if the assignment is to be recorded in the records of the USPTO See
MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee

Michio Obara
Signature

March 20, 2006
Date

Michio OBARA

Executive Director

Typed or printed name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450.
Docket No 790066.106USPC

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ASSIGNMENT

WHEREAS, we, Junji TAKEDA and Kyoji HORIE (hereinafter referred to as ASSIGNORS), having mailing addresses of c/o Osaka University, Graduate School of Medicine 2-2, Yamadaoka, Suita-shi, Osaka 565-0871 Japan, and c/o Osaka University, Graduate School of Medicine 2-2, Yamadaoka, Suita-shi, Osaka 565-0871 Japan respectively, are the joint inventors of an invention entitled "METHOD OF PREPARING TRANSGENIC ORGANISM WITH USE OF METHYLATION AND SYSTEM THEREFOR," as described and claimed in the specification for which an application for United States letters patent was filed and assigned Application No . This application is a national stage application filed under 35 U.S.C. 371 of International Application No PCT/JP2004/010090, accorded an International Filing Date of July 8, 2004.

WHEREAS, JAPAN SCIENCE AND TECHNOLOGY AGENCY (hereinafter referred to as ASSIGNEE), having a usual place of business at 4-1-8, Honcho, Kawaguchi-shi, Saitama 332-0012 Japan, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States;

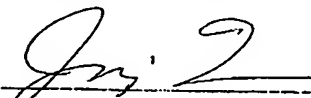
NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and, in any and all divisions, reissues and continuations thereof, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

International Application No PCT/JP2004/010090
U.S. Application No.:

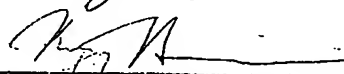
1

Assignor: Junji TAKEDA

Date: 2/25/2006

Signature: 

Date 2/25/06
(Type or Print)

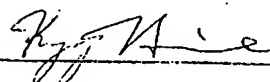

(Signature of Witness)

Kyoji HORIE
(Type or Print Name of Witness)

2.

Assignor: Kyoji HORIE

Date: 2/24/06

Signature: 

Date 2/24/06
(Type or Print)

Michiyoshi Kouno
(Signature of Witness)

Michiyoshi Kouno
(Type or Print Name of Witness)